

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending October 31, 2019

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Signal Group Consulting, LLC

6661

(c) Business Address(es) of Registrant

1750 K Street, NW, Suite 200, Washington, DC 20006

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☒ No ☐

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

The office address of Signal Group Consulting, LLC has changed from 455 Massachusetts Avenue, NW, 12th Floor, Washington, DC 20001 to the new address of 1750 K Street, NW, Suite 200, Washington, DC 20006.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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Please refer to attached document.

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☒ No ☐
If yes, furnish the following information:

Foreign Principal

Date of Termination

Volodymyr Zelensky - Servant of the People, through Marcus Cohen

5/21/2019

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

N/A

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☒ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☒ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Signal Group Consulting, LLC provided services on behalf of foreign principal Volodymyr Zelensky - Servant of the People, through Marcus Cohen. Activities included organizing a program of public relations and government relations meetings, introduction of the foreign principal to the U.S. media, facilitated media interviews, alongside meetings with think tank scholars and issue experts, and provided strategic U.S. media and governmental affairs counsel.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Political activity was on behalf of foreign principal Volodymyr Zelensky - Servant of the People, through Marcus Cohen. Please see the attached document for a complete list of activities.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
4/30/2019	Marcus Cohen	Payment for services rendered	\$30,000.00
6/25/2019	Marcus Cohen	Payment for services rendered	\$30,000.00
6/25/2019	Marcus Cohen	Reimbursement of expenses incurred in the performance of services	\$9,371.80
			\$69,371.80
			Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
4/15/19 through 4/17/19	Transperfect	Translation Services	5,981.25
4/15/19	Corner Bakery	Meal	142.31
4/15/19	Lyft	Transportation	43.44
4/15/19	DC Cab	Transportation	41.02
4/15/19	Uber	Transportation	229.74
4/16/19	BLT Steak	Meal	1,912.90
4/16/19	Uber	Transportation	284.14
4/16/19	DC Cab	Transportation	20.06
4/17/19	Meal	Old Ebbitt Grill	213.02
4/17/19	Uber	Transportation	166.32
4/17/19	Reston Limo	Transportation	330.00
4/17/19	Sofitel	Meal/Coffee	7.60

9,371.80

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
4/30/19	500.00	DNC Services Corp/Democratic National Committee	Washington, DC
5/16/19	500.00	Congressional Black Caucus PAC	Washington, DC
6/18/19	500.00	Rounds for Senate	Washington, DC

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☐ No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) N/A

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) N/A

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) N/A

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) N/A

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)07/15/19MARIC DUFFY

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Supplemental Statement Question 5.d.

Patrice Willoughby	Lobbyist	Volodymyr Zelensky through Marcus Cohen	5/21/19
Robert James Bole	Consultant	Volodymyr Zelensky through Marcus Cohen	5/21/19
Mark Duffy	Consultant	Volodymyr Zelensky through Marcus Cohen	5/21/19
Thomas Mathiasen	Consultant	Volodymyr Zelensky through Marcus Cohen	5/21/19
Charles Allen Moskowitz	Consultant	Volodymyr Zelensky through Marcus Cohen	5/21/19
Noe Garcia III	Consultant	Volodymyr Zelensky through Marcus Cohen	5/21/19

Date	Office	Mode	Topic
4/3/2019	U.S. Department of State	Call/Email	Delegation Visit
4/3/2019	Office of Congressman Brendan Boyle	Call/Email	Delegation Visit
4/3/2019	Rep. Marcy Kaptur (OH-09)	Email	Inquiry re Congressional Ukraine Caucus
4/4/2019	Rep. Marcy Kaptur (OH-09)	Call	Inquiry re Ukraine Caucus
4/4/2019	Rep. Marcy Kaptur (OH-09)	Email	Follow up
4/4/2019	Rep. Marcy Kaptur (OH-09)	Call	Background on U.S. visit by Zelensky and party
4/4/2019	Rep. Marcy Kaptur (OH-09)	Email	Acknowledgment "Thank you"
4/5/2019	Office of Congressman David Trone	Call/Email	Delegation Visit
4/5/2019	Office of Congressman Bill Pascrell	Call/Email	Delegation Visit
4/9/2019	U.S. Department of State	Follow up email	Delegation Visit
4/9/2019	Rep. Marcy Kaptur (OH-09)	Email	Notification of visas granted to Volodymyr Zelensky and party
4/9/2019	Rep. Marcy Kaptur (OH-09)	Email	Acknowledgement
4/9/2019	Sen. Sherrod Brown (OH)	Email	Notification of U.S. visit by Zelensky and party
4/9/2019	Sen. Rob Portman (OH)	Email	Notification of U.S. visit by Zelensky and party
4/9/2019	Rep. Nancy Pelosi, Speaker (CA-12)	Email	Notification of U.S. visit by Zelensky and party
4/9/2019	Sen. Rob Portman (OH)	Email	Acknowledgment
4/9/2019	Sen. Rob Portman	Call	Background on U.S. visit by Zelensky and party
4/9/2019	House Foreign Affairs	Email	Notification of U.S. visit by Zelensky and party
4/9/2019	Rep. Gregory Meeks (NY-05)	Email	Notification of U.S. visit by Zelensky and party
4/9/2019	House Foreign Affairs	Email	Notification of U.S. visit by Zelensky and party
4/9/2019	House Foreign Affairs	Email	Notification of U.S. visit by Zelensky and party
4/10/2019	Hudson Institute	Email	Delegation Visit
4/10/2019	Rep. Marcy Kaptur (OH-09)	Email	Discussion of schedule, Zelensky and party
4/10/2019	Rep. Marcy Kaptur (OH-09)	Email	Acknowledgment "Thank you"
4/11/2019	Carnegie Endowment for International Peace	Email	Scheduling Request
4/11/2019	CATO Institute	Email	Scheduling Request
4/11/2019	Heritage Foundation	Email	Delegation Visit
4/11/2019	Center for Strategic and International Studies	Email	Scheduling Request
4/11/2019	Office of Congressman Brendan Boyle	Email	Delegation Visit
4/11/2019	Office of Congressman David Trone	Email	Delegation Visit
4/11/2019	Senate Select Committee on Intelligence	Email	Scheduling Request
4/11/2019	Office of Senator Charles Schumer	Email	Scheduling Request
4/12/2019	The Daily Caller	Call/Email	Delegation Visit
4/12/2019	U.S. Department of State	Call	Delegation Visit
4/12/2019	Freedom House	Email	Scheduling Request
4/12/2019	Carnegie Endowment for International Peace	Email	Scheduling Request
4/12/2019	CATO Institute	Email	Scheduling Request
4/12/2019	German Marshall Fund	Email	Scheduling Request
4/12/2019	Hudson Institute	Email	Scheduling Request
4/13/2019	Center for Strategic and International Studies	Email	Scheduling Request
4/13/2019	American Enterprise Institute	Email	Delegation Visit
4/13/2019	U.S. Department of State	Email	Delegation Visit
4/13/2019	CATO Institute	Email	Scheduling Request
4/13/2019	Open Dialogue Initiative at Center for Strategic and International Studies	Email	Scheduling Request

4/14/2019	Senate Select Committee on Intelligence	Email	Scheduling Request
4/14/2019	U.S. News & World Report	Email	Delegation Visit
4/14/2019	The Washington Post	Email	Delegation Visit
4/14/2019	Hudson Institute	Email	Scheduling Request
4/14/2019	Office of Senator Charles Schumer	Email	Scheduling Request
4/15/2019	U.S. Department of State	Email	Delegation Visit
4/15/2019	National Security Council (NSC)	Email	Delegation Visit
4/15/2019	Hudson Institute	Meeting Regional Issues	Meeting
4/15/2019	Heritage Foundation	Meeting Regional Issues	Meeting
4/15/2019	Office of Congressman Henry Cuellar	Tour/Meeting	Tour
4/15/2019	American Foreign Policy Council	Dinner/Discussion	Meeting
4/15/2019	German Marshall Fund	Meeting Regional Issues	Meeting
4/15/2019	The White House	Email	RSVP Tour
4/15/2019	Council for a Livable World	Email	Scheduling Request
4/15/2019	Center for Strategic and International Studies	Email	Scheduling Request
4/16/2019	Freedom House	Email	Scheduling Request
4/16/2019	The Daily Caller	Delegation Visit	Email
4/16/2019	CATO Institute	Meeting Regional Issues	Meeting
4/16/2019	U.S. Department of State	Meeting Regional Issues	Meeting
4/16/2019	Center for Strategic and International Studies	Meeting Regional Issues	Meeting
4/16/2019	Carnegie Endowment for International Peace	Meeting Regional Issues	Meeting
4/16/2019	Office of Congressman Brendan Boyle	Meeting Regional Issues	Meeting and Email
4/17/2019	Former Senator Norm Coleman	Meeting Regional Issues	Meeting
4/17/2019	National Security Council (NSC)	Meeting Regional Issues	Meeting, Call and Email
4/17/2019	The White House	Meeting/Tour	Meeting/Tour
4/17/2019	Freedom House	Email	Scheduling Request
4/18/2019	U.S. Department of State	Meeting Follow Up	Email
4/22/2019	American Foreign Policy Council	Meeting Follow Up	Email
4/22/2019	The Washington Post	Media Request	Email
4/22/2019	CNN	Media Request	Email and Call
4/23/2019	U.S. Department of State	Meeting Follow Up	Email
4/24/2019	CATO Institute	Meeting Follow Up	Email and Call
5/13/2019	The Washington Post	Follow Up: Media Request	Email
5/20/2019	The Washington Post	Follow Up: Media Request	Email
5/21/2019	CNN	Follow Up: Media Request	Email